

## Lec 6: Reps of $SL_2$ & $\mathfrak{sl}_2$ , II

### 0) Introduction.

#### 1) Classification of irreducibles

#### 2) Complete reducibility

### 0) Introduction.

Let  $\mathbb{F}$  be an algebraically closed field,  $\text{char } \mathbb{F} = 0$ . Our goal is to describe the finite dimensional representations of  $\mathfrak{g} = \mathfrak{sl}_2$ . We'll see that:

- the irreducibles are in bijection w.  $\mathbb{Z}_{\geq 0}$ :  $\forall n \in \mathbb{Z}_{\geq 0} \exists!$  (up to iso)  $\mathfrak{g}$ -irrep  $L(n)$  w.  $\dim L(n) = n+1$ .
- all finite dimensional representations are completely reducible  $\Leftrightarrow$  isomorphic to direct sums of irreducible.

To aid us with these tasks we obtained (Sec 3.2 of Lec 5) the following formulas in  $U(\mathfrak{g})$  (where  $e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \in \mathfrak{g}$ ):

$$P(h)e = eP(h+2), \forall P \in \mathbb{F}[x] \quad (i)$$

$$P(h)f = fP(h-2), \forall P \in \mathbb{F}[x] \quad (ii)$$

$$ef^n = nf^{n-1}(h - (n-1)) + f^n \quad (iii')$$

$$e^n f^n = a e + n! \prod_{i=0}^{n-1} (h-i) \text{ for some } a \in U(\mathfrak{sl}_2) \quad (iii'')$$

### 1) Classification of irreducibles

#### 1.1) Weight decomposition

Let  $\mathbb{F}$  be an algebraically closed field,  $V$  a fin. dim.  $\mathbb{F}$ -vector space &  $A \in \text{End}_{\mathbb{F}}(V)$ . Then to  $\lambda \in \mathbb{F}$  we assign the **generalized  $\lambda$ -eigenspace**  $V_{\lambda}(A) = \{v \in V \mid \exists n > 0 (A - \lambda \text{Id})^n v = 0\}$ . It's known (e.g.

from the JNF theorem) that  $V = \bigoplus_{\lambda \in \mathbb{F}} V_{\lambda}(A)$  ( $V_{\lambda}(A) \neq \{0\} \Leftrightarrow \lambda$  is an  $e$ -value).

Now let  $V$  be a finite dimensional representation of  $\mathfrak{g}$ .

**Definition:** The  $\lambda$ -weight space in  $V$  is  $V_{\lambda} := V_{\lambda}(h)$ .

We say:  $\lambda$  is a weight of  $V$  if  $V_{\lambda} \neq \{0\}$ .

Note that  $V = \bigoplus_{\lambda \in \mathbb{F}} V_{\lambda}$ .

**Lemma:**  $eV_{\lambda} \subset V_{\lambda+2}$ ,  $fV_{\lambda} \subset V_{\lambda-2}$ .

**Proof:** Let  $v \in V_{\lambda}$ . Need to prove  $\exists m > 0$  s.t.  $(h - (\lambda + 2))^m e v = [(i)$   
in Sec 0]  $= e(h - \lambda)^m v = 0$  for  $m > 0 \Rightarrow eV \subset V_{\lambda+2}$ ;  $fV \subset V_{\lambda-2}$  follows  
from (ii) there.  $\square$

## 1.2) Highest weight.

Until the end of the lecture, assume  $\text{char } \mathbb{F} = 0$

**Definition:** A weight  $\lambda$  of  $V$  is called a highest weight if  $\lambda + 2k$   
is not a weight of  $V \forall k > 0$ .

Note that this notion is meaningless if  $\text{char } \mathbb{F} = p$  ( $\lambda + 2p = \lambda$ )

Since  $\dim V < \infty$ , the set of weights of  $V$  is finite, so  $\exists$  highest  
weight (if  $V \neq \{0\}$ ).

**Proposition 1:** Let  $\lambda$  be a highest weight of  $V$  &  $v \in V_\lambda$ . Then

(1)  $ev=0$ .

(2)  $\lambda \in \mathcal{K}_{\geq 0}$  &  $hv = \lambda v$  (i.e.  $V_\lambda$  is an eigenspace for  $h$ ).

**Proof:** By Lemma in Sec 1.1,  $ev \in V_{\lambda+2} = \{0\}$  b/c  $\lambda$  is highest. This proves (1). To prove (2) observe that there's  $n > 0$  s.t.  $\lambda - 2n$  is not a weight of  $V$  - b/c the set of weights is finite. So  $f^n v \in V_{\lambda-2n} = \{0\}$ . Consider the vector  $e^n f^n v = 0$ . By (iii") in Sec 0, the l.h.s. equals  $aev + n! \left( \prod_{i=0}^{n-1} (h-i) \right) v = 0$ . Since  $ev=0$ , we get  $h(h-1)\dots(h-n+1)v = 0$ . Since  $v \in V_\lambda$ ,  $(h-i)$  is an invertible operator on  $V_\lambda$  unless  $i=\lambda$ . So  $\lambda = i \in \{0, 1, \dots, n-1\}$  &  $(h-i)$  acts by 0 on  $V_\lambda \rightsquigarrow 2) \square$

### 1.3) Verma modules

It turns out that if  $V$  is, in addition, irreducible, then it has unique highest wt, and sending an irrep to its highest weight gives a bijection between fin. dim.  $\mathfrak{g}$ -irreps &  $\mathcal{K}_{\geq 0}$  mentioned in Sec 0

In order to prove these claims we need to study the  $U(\mathfrak{g})$ -module w. one generator,  $v_\lambda$ , & relations  $ev_\lambda = 0$ ,  $hv_\lambda = \lambda v_\lambda$  ( $\lambda \in \mathbb{F}$ ). Here's a more formal

**Definition:** Let  $\lambda \in \mathbb{F}$ . By the **Verma module**  $\Delta(\lambda)$  we mean the quotient  $\Delta(\lambda) = U(\mathfrak{g})/I_\lambda$ , where  $I_\lambda := \text{Span}_{U(\mathfrak{g})}(e, h-\lambda)$ .

**Proposition 2:** 1) Universal property:  $\forall U(\mathfrak{g})$ -module  $V$

$\text{Hom}_{\mathcal{U}(\mathfrak{g})}(\Delta(\lambda), V) \xrightarrow{\sim} \{v \in V \mid ev=0, hv=\lambda v \Leftrightarrow I_\lambda v=0\}, \varphi \mapsto \varphi(1+I_\lambda).$

2) Basis: if  $v_\lambda := 1+I_\lambda \in \Delta(\lambda)$ , then the vectors  $f^i v_\lambda, i \geq 0$ , form a basis in  $\Delta(\lambda)$ .

3) Submodules:  $\Delta(\lambda)$  is irred. if  $\lambda \notin \mathbb{Z}_{\geq 0}$  and has a unique proper submodule,  $K(\lambda) := \text{Span}(f^i v_\lambda \mid i \geq \lambda+1)$  else.

Proof: 1) - the isomorphism is given by  $\varphi \mapsto \varphi(v_\lambda)$ , details are left as *exercise*

2) By the PBW theorem, the elements  $f^k h^l e^m, k, l, m \geq 0$ , form a basis in  $\mathcal{U}(\mathfrak{g})$ . We claim that the elements  $f^k (h-\lambda)^l e^m$  also form a basis. This is because the binomial formula for  $h^l = (h-\lambda+\lambda)^l$  gives a unique expansion for  $f^k h^l e^m$  in terms of  $f^k (h-\lambda)^l e^m$ , details are an *exercise*.

Note that  $I_\lambda = \text{Span}_{\mathbb{F}}(f^k (h-\lambda)^l e^{m+1}, f^k (h-\lambda)^l e^m (h-\lambda)) = \text{Span}_{\mathbb{F}}(f^k (h-\lambda)^l e^{m'} \mid m' > 0 \text{ or } [m'=0 \ \& \ l' > 0]) \Rightarrow f^k + I_\lambda = f^k v_\lambda$  form a basis in  $\Delta(\lambda)$ .

3) We have  $(h - (\lambda - 2i))f^i v_\lambda = [(ii) \text{ in Sec 0}] = f^i (h-\lambda) v_\lambda = 0$ . We claim that any submodule  $N \neq \Delta(\lambda)$  is the span of some  $f^i v_\lambda$  (if  $v = \sum_{i=1}^j a_{e_i} f^{e_i} v_\lambda \in N$  w.  $a_{e_i} \neq 0$  & pairwise distinct  $e_i$   $\Rightarrow (h-\lambda+2l_j)v = \sum_{i=1}^j 2(l_j - e_i) a_{e_i} f^{e_i} v_\lambda \in N$ , then we induct on  $j$ )

Also if  $f^i v_\lambda \in N$ , then  $f^{i+1} v_\lambda \in N$ . So  $N = \text{Span}(f^i v_\lambda \mid i \geq k)$  for some  $k > 0$ . if  $N \neq \{0\}$ . If  $N \neq \Delta(\lambda)$ , then  $k > 0$  &  $ef^k v_\lambda = 0$ . By iii') in Sec 0,  $ef^k v_\lambda = f^k e v_\lambda + kf^{k-1}(h-(k-1))v_\lambda = 0 + k(\lambda - (k-1))f^{k-1} v_\lambda$

Note that  $ef^k v_\lambda \in N$ , while  $k(\lambda - (k-1))f^{k-1} v_\lambda \in N \Leftrightarrow \lambda = k-1$ . In parti-

ular, for  $\lambda \neq k-1$ , there is no proper submodule, while for  $\lambda = k-1$ ,  $K(\lambda) = \text{Span}(f^i v_\lambda \mid i \geq \lambda+1)$  is the unique proper submodule. 3) is proved.  $\square$

#### 1.4) Modules $L(\lambda)$ .

For  $\lambda \in \mathbb{Z}_{\geq 0}$  set  $L(\lambda) = \Delta(\lambda)/K(\lambda)$ . Note that  $\dim K(\lambda) = \infty$ ,  $\dim L(\lambda) = \lambda+1$ . Set  $e_\lambda := v_\lambda + K(\lambda) \in L(\lambda)$ .

Thm: 1)  $L(\lambda), K(\lambda)$  are irreducible  $\forall \lambda \geq 0$ .

2) Universal property:  $\forall$  finite dimensional  $U(\mathfrak{g})$ -module  $V$

$$\text{Hom}_{U(\mathfrak{g})}(L(\lambda), V) \xrightarrow{\sim} \{v \in V \mid ev=0, hv=\lambda v\}, \varphi \mapsto \varphi(e_\lambda)$$

3)  $\lambda \mapsto L(\lambda)$  is a bijection between  $\mathbb{Z}_{\geq 0}$  & the set of isom. classes of finite dimensional irreducible  $\mathfrak{sl}_2$ -reps.

Proof:

1): follows from 3) of Proposition 2 (*exercise*).

2): We'll use the following general property of irreps

(\*)  $\forall$  nonzero homomorphism from (resp. to) irrep is injective (resp. surjective).

Since  $\dim K(\lambda) = \infty$ , 1)  $\Rightarrow \forall \sigma$ -linear  $K(\lambda) \rightarrow V$  is 0 if  $\dim V < \infty$ .

So  $\text{Hom}_{U(\mathfrak{g})}(L(\lambda), V) \xrightarrow{\sim} \{\varphi: \Delta(\lambda) \rightarrow V \mid \varphi(K(\lambda)) = 0\} = \text{Hom}_{U(\mathfrak{g})}(\Delta(\lambda), V)$

& we are done by 1) of Proposition 2.

3) Injectivity:  $\lambda \neq \lambda' \Rightarrow \dim L(\lambda) \neq \dim L(\lambda') \Rightarrow L(\lambda) \not\cong L(\lambda')$

Surjectivity: let  $V$  be irrep,  $\lambda \in \mathbb{Z}_{\geq 0}$  be a highest weight &  $v \in V_\lambda$ ,

$v \neq 0$ . By Proposition 1,  $ev = 0$  &  $hv = \lambda v$ . By 2) of Thm,  $\exists!$  homom.  $\varphi: L(\lambda) \rightarrow V$  w.  $\varphi(\ell_\lambda) = v$ . It's nonzero, so isomorphism by (\*)  $\square$

## 2) Complete reducibility

Thm: Let  $V$  be a finite dimensional  $\mathfrak{sl}_2$ -rep. Then  $V \cong \bigoplus$  of irreps.

Proof:

Step 1, Casimir element: Set  $C = fe + ef + \frac{1}{2}h^2 = 2fe + \frac{1}{2}h^2 + h \in U(\mathfrak{g})$ .

Then (important exercise)

(\*\*)  $C$  commutes w.  $e, h, f$ .

Step 2,  $C$  acts on  $\Delta(\lambda)$ , hence on  $L(\lambda)$  by scalar  $(\frac{1}{2}\lambda^2 + \lambda)$ :  
 $\Delta(\lambda) = \text{Span}(f^i v_\lambda)$  by 2) of Prop 2 &  $Cf^i v_\lambda = [Cf = fC] = f^i C v_\lambda = [ev_\lambda = 0, hv_\lambda = \lambda v_\lambda] = (\frac{1}{2}\lambda^2 + \lambda)f^i v_\lambda$ .

Step 3 (decomposition). (\*\*)  $\Rightarrow \forall \mu \in \mathbb{F}$  the generalized  $e$ -space  $V_\mu(C)$  is  $e, h, f$ -stable (i.e. subrep). Thx to  $V = \bigoplus_{\mu} V_{\mu}(C)$ , we can reduce to  $V = V_{\mu}(C)$  for some  $\mu$ .

Step 4: Let  $\lambda$  be a highest weight of  $V = V_{\mu}(C)$  &  $v \in V_{\lambda} \setminus \{0\} \rightsquigarrow$  nonzero hence injective  $L(\lambda) \hookrightarrow V \Rightarrow C$  acts on  $L(\lambda)$  w.  $e$ -value  $\mu \Rightarrow$  [Step 2]  $\mu = \frac{1}{2}\lambda^2 + \lambda$ . Note that equation in  $\lambda$ ,  $\mu = \frac{1}{2}\lambda^2 + \lambda$ , has unique solution  $\lambda \geq 0 \Rightarrow \lambda$  is uniquely determined by  $\mu$ .

Step 5: Let  $v_1, \dots, v_k \in V_{\lambda}$  be basis; 2) of Thm  $\rightsquigarrow \varphi_i: L(\lambda) \rightarrow V$ ,  $\varphi_i(\ell_{\lambda}) = v_i \rightsquigarrow \varphi: U = L(\lambda)^{\oplus k} \rightarrow V$ ,  $\varphi(u_1, \dots, u_k) = \sum_{i=1}^k \varphi_i(u_i)$ . We'll show  $\varphi$  is an isomorphism.

Step 6: Note that  $L(\lambda) = \text{Span}(f^i \ell_{\lambda} \mid i=0, \dots, \lambda) \Rightarrow L(\lambda)_{\lambda} = \mathbb{F}\ell_{\lambda} \Rightarrow$

$U_{\lambda}$  has basis  $\ell_{\lambda, 1}, \dots, \ell_{\lambda, k}$  &  $\varphi(\ell_{\lambda, i}) = v_i$  - basis in  $V_{\lambda} \Rightarrow \varphi: U_{\lambda} \xrightarrow{\sim} V_{\lambda}$ .

6]

Step 7 ( $\varphi$  is injective)  $K := \ker \varphi$ ;  $\varphi: U_\lambda \xrightarrow{\sim} V_\lambda \Rightarrow K_\lambda = U_\lambda \cap K = \{0\}$ .  
 $C$  acts on  $K$  by  $\frac{1}{2}\lambda^2 + \lambda$ . By Step 4,  $\lambda$  is the only possible highest weight & since  $K_\lambda = \{0\} \Rightarrow K = \{0\}$

Step 8 ( $\varphi$  is surjective) Let  $N = \text{coker } \varphi (= V/\text{im } \varphi)$ . By Step 6,  $V_\lambda \subset \text{im } \varphi \Rightarrow \bigoplus_{\lambda_1 \neq \lambda} V_{\lambda_1} \rightarrow N \Rightarrow \lambda$  is not  $e$ -value of  $h$  in  $N \Leftrightarrow N_\lambda = \{0\}$ .  
Then we argue as in Step 7 to show  $N = \{0\}$  finishing the proof.  $\square$