

O & Soergel, lec 1: Overview I

1) Category $\mathcal{O}$

2) Three theorems of Soergel

1) Category $\mathcal{O}$

1.1) Definitions: K char. 0 field.

$\mathfrak{g}$ s/simple Lie algebra / $K \leadsto$ univ. enveloping $U(\mathfrak{g})$

$\mathfrak{b} = \mathfrak{h} \ltimes \mathfrak{n}$ Borel

$\Lambda \subset \mathfrak{h}^*$ weight lattice.

Definition: Fix $\chi \in \mathfrak{h}^*$. Category $\mathcal{O}_\chi$ = full subcategory of $U(\mathfrak{g})$ -mod consisting of all M s.t.:

- (i) M is fin. gen'd / $U(\mathfrak{g})$
- (ii) $M = \bigoplus_{\lambda \in \Lambda} M_\lambda$ where $M_\lambda = \{m \in M \mid xm = \langle \lambda + \chi, x \rangle m \ \forall x \in \mathfrak{h}\}$
- (iii) $\mathfrak{n}$ acts on M locally nilpotently: $\forall m \in M \exists r > 0 \mid y_1 \dots y_r m = 0 \ \forall y_1, \dots, y_r \in \mathfrak{n}$.

Exercise 0: $\mathcal{O}_\chi$ is an abelian subcategory of $\mathcal{U}(\mathfrak{g})\text{-mod}$
(closed under (co)kernels)

Exercise 1: Modulo (i) & (ii), (iii) is equivalent to:

(iii') $\{\lambda \mid M_\lambda \neq 0\}$ is bounded from above: $\exists \lambda_1, \dots, \lambda_k \in \Lambda$
(depending on M) s.t. $M_\lambda \neq 0 \Rightarrow \exists i$ w. $\lambda \leq \lambda_i$ ($\stackrel{\text{def}}{\iff}$
 $\lambda_i - \lambda = \text{sum of positive roots}$).

Exercise 2: $\dim M_\lambda < \infty \quad \forall \lambda \in \Lambda$.

Examples of objects:

1) Verma modules $\Delta_\chi(\lambda)$ ($\lambda \in \Lambda$)

$$\Delta_\chi(\lambda) = \mathcal{U}(\mathfrak{g}) / \mathcal{U}(\mathfrak{g}) \text{Span}_{\mathbb{C}} (x - \langle \lambda + \chi, x \rangle, y: x \in \mathfrak{h}, y \in \mathfrak{k}).$$

2) $\forall \lambda \in \Lambda$, $\Delta_\chi(\lambda)$ has unique irreducible quotient
to be denoted $L_\chi(\lambda)$. Then $\lambda \mapsto L_\chi(\lambda): \Lambda \rightarrow \text{Irr}(\mathcal{O}_\chi)$
(the set of iso classes of irreps) is a bijection (to

2] prove these claims is an **exercise**).

Exercise 3 (universal property of Verma): $\forall M \in \mathcal{O}_\lambda$

$$\text{Hom}_{\mathcal{O}_\lambda}(\Delta_\lambda(\lambda), M) \xrightarrow{\sim} \{m \in M_\lambda \mid \mathbb{K} m = 0\}$$

Remark: If $\lambda' - \lambda \in \Lambda$, then $\mathcal{O}_\lambda \xrightarrow{\sim} \mathcal{O}_{\lambda'}$, $M \mapsto M$ shifting weight decomposition. The most interesting case is $\lambda = 0$.

1.2) Structural features.

W : = Weyl group, $\rho = \frac{1}{2}(\sum \text{positive roots})$

$\mathbb{Z}$ = center of $\mathcal{U}(\mathfrak{g})$

Harish-Chandra: $\mathbb{Z} \xrightarrow{\sim} \mathbb{K}[\mathfrak{h}^*]^{(W, \cdot)}: z \mapsto HC_z$, where

$$w \cdot \lambda := w(\lambda + \rho) - \rho \quad (w \in W, \lambda \in \mathfrak{h}^*)$$

so that $\mathbb{Z}$ acts on $\Delta_\lambda(\lambda)$ by $HC_z(\lambda + \lambda)$.

This gives "infinitesimal block decomposition" (to be proved later)

Theorem 1: $\mathcal{O}_\lambda = \bigoplus_{\zeta \in \mathfrak{h}^*/(W, \cdot)} \mathcal{O}_\lambda^\zeta$, where
space of orbits

$$\overline{3} \quad \mathcal{O}_\lambda^\zeta = \{M \in \mathcal{O}_\lambda \mid \forall m \in M \exists n > 0 \mid (z - HC_z(\zeta))^n m = 0 \ \forall z \in \mathbb{Z}\}$$

Exercise: 1) $\Delta_X(\lambda), \nabla_X(\lambda) \in \mathcal{O}_X^\zeta \iff \zeta = W \cdot (\lambda + X)$.

2) Use Exercise 3 in Sec 1.1 to show that $\mathcal{O}_X^\zeta \neq 0 \implies \exists \lambda \in \Lambda \mid \zeta = W \cdot (\lambda + X)$.

The following result that also will be proved later describes basic categorical properties of $\mathcal{O}_X^\zeta$

Theorem 2: Each $\mathcal{O}_X^\zeta$:

- (i) has finitely many simple objects
- (ii) $\forall M, N \in \mathcal{O}_X^\zeta \implies \dim \operatorname{Hom}_{\mathcal{O}_X}(M, N) < \infty$
- (iii) has enough projectives: $\forall M \in \mathcal{O}_X^\zeta \exists$ projective P_M w. $P_M \twoheadrightarrow M$.

Here's an application of Thm 2. Let L be the (finite) direct sum of all simple objects in $\mathcal{O}_X^\zeta$ & $P := P_L$ from (iii). Set $A := \operatorname{End}_{\mathcal{O}_X}(P)$. Then the functor $\operatorname{Hom}_{\mathcal{O}_X}(P, \cdot): \mathcal{O}_X^\zeta \rightarrow \operatorname{mod}_{\text{fd}} A$ (the category of finite dimensional right A -modules) is an

equivalence). Note that $\dim A < \infty$ by ii).

Prelim. conclusion: So $\mathcal{O}_X^S$ is recovered from A or, equivalently, from the additive category $\mathcal{O}_X^S\text{-proj}$ of projective objects. The Soergel theory gives an elementary ($\neq$ easy) description of $\mathcal{O}_X^S\text{-proj}$

2) Three theorems of Soergel

2.0) Digression: indecomposable objects

Let $\mathcal{C}$ be $\mathbb{K}$ -linear abelian category

We say that $M \in \mathcal{C}$ is indecomposable if $M \simeq M_1 \oplus M_2 \Rightarrow M_1$ or $M_2 \simeq 0$. Assume

$$(*) \quad \forall M \in \mathcal{C} \Rightarrow \dim_{\mathbb{K}} \operatorname{End}_{\mathcal{C}}(M) < \infty.$$

One can read indecomposability of M from the structure of $\operatorname{End}_{\mathcal{C}}(M)$. The first observation is that if $\varepsilon \in \operatorname{End}_{\mathcal{C}}(M)$ is an idempotent, $\varepsilon \neq 0, 1$, then

5 $M \simeq \operatorname{im} \varepsilon \oplus \operatorname{im}(1 - \varepsilon)$ is a nontrivial decomposition.

Exercise: Assume (*). 1) TFAE

a) M is indecomposable

b) $\text{End}(M)/\text{rad End}(M)$ is a division algebra.

2) Every M decomposes as $\bigoplus$ of indecomposables.

Thm (Krull-Schmidt) Assume (*). Then the decomposition in 2) is unique: if $M \simeq M_1 \oplus \dots \oplus M_\ell \simeq M'_1 \oplus \dots \oplus M'_k$, then $k = \ell$ & $\exists \sigma \in S_\ell$ s.t. $M_i \simeq M'_{\sigma(i)}$.

2.1) First two theorems.

For simplicity, assume $X=0$, $Y=W \cdot 0$. We write $\mathcal{O}^{\text{pr}} := \mathcal{O}_0^{W \cdot 0}$ (principal block). The simples in $\mathcal{O}^{\text{pr}}$ are $L_0(w \cdot 0)$ ($w \in W$; all these weights are distinct). For general reasons (in representation theory of fin. dim. associative algebras), $\forall w \in W \exists!$ indecomposable projective object $P_0(w \cdot 0)$ w. epimorphism to $L_0(w \cdot 0)$ ($\text{Hom}_{\mathcal{O}^{\text{pr}}}(P(w \cdot 0), L(u \cdot 0))$ has $\dim = \delta_{u,w}$). Our task is to describe the algebra

$$\overline{6} \mid \text{End}_{\mathcal{O}^{\text{pr}}} \left(\bigoplus_{w \in W} P(w \cdot 0) \right).$$

The 1st theorem of Soergel is a description of the algebra $\text{End}_{\mathcal{O}^{\text{pr}}}(P(w_0 \cdot 0))$, where $w_0 \in W$ is the longest element sending the dominant Weyl chamber C to $-C$ so that $w_0 \rho = -\rho \Rightarrow w_0 \cdot \rho = -2\rho$.

In $K[y^*]^W$ consider the maximal ideal $K[y^*]^W_+$ of 0 (spanned by the homogeneous elements of positive degree). Define the "coinvariant algebra"

$$K[y^*]^{\text{cow}} = K[y^*] / K[y^*] K[y^*]^W_+$$

It's a graded commutative algebra of $\dim = |W|$.

Theorem 1 (Soergel): We have algebra isomorphism

$$\text{End}_{\mathcal{O}^{\text{pr}}}(P_0(w_0 \cdot 0)) \xrightarrow{\sim} K[y^*]^{\text{cow}}$$

So we get a functor

$$V := \text{Hom}_{\mathcal{O}^{\text{pr}}}(P(w_0 \cdot 0), ?) : \mathcal{O}^{\text{pr}} \longrightarrow K[y^*]^{\text{cow}} \text{ - mod } \mathfrak{p}_0$$

fin. dim.

It's far from being an equivalence: it sends all simples but $L_0(w_0 \cdot 0)$ to 0 . Nevertheless, we have

Thm 2: V is fully faithful on projectives:

$$\mathrm{Hom}_{\mathcal{O}^{\mathrm{pr}}}(P_1, P_2) \xrightarrow{\sim} \mathrm{Hom}_{K[y^*]^{\mathrm{cow}}}(V(P_1), V(P_2)), \forall P_1, P_2 \in \mathcal{O}^{\mathrm{pr}\text{-proj}}.$$

2.2) Third theorem of Soergel.

So to describe $\mathcal{O}^{\mathrm{pr}\text{-proj}}$ it remains to determine $V(P_0(w \cdot 0)) \forall w \in W$, an indecomposable $K[y^*]^{\mathrm{cow}}$ -module (exercise: use Thm 2 + Sec 2.0).

Here are two important features of $\mathcal{O}^{\mathrm{pr}\text{-proj}}$ (to be established later):

1) Verma $\Delta_0(0)$ is projective & $V(\Delta_0(0)) \simeq K$ (there's unique 1-dimensional $K[y^*]^{\mathrm{cow}}$ -module, it's $K[y^*]/(y^*)$).

2) For every simple reflection $s \in W$, there's a reflection functor $\mathbb{H}_s: \mathcal{O}^{\mathrm{pr}} \rightarrow \mathcal{O}^{\mathrm{pr}}$. We know that:

- i) $\mathbb{H}_s$ is self-adjoint $\Rightarrow$ exact & $\mathbb{H}_s(\mathcal{O}^{\mathrm{pr}\text{-proj}}) \subset \mathcal{O}^{\mathrm{pr}\text{-proj}}$.
 - ii) If $\ell(ws) > \ell(w)$ ($\ell: W \rightarrow \mathbb{Z}_{\geq 0}$ is the length function), $\Rightarrow P_0(ws \cdot 0)$ appears as a direct summand in $\mathbb{H}_s P_0(w \cdot 0)$.
 - iii) Moreover, if $w = s_1 \dots s_e$ is a reduced decomposition,
- 8] then $P_0(w \cdot 0)$ appears with multiplicity 1 in the projec.

tive object $\bigoplus_{s_2} \dots \bigoplus_{s_1} \Delta_0(0)$ & all other summands are $P(u \cdot 0)$ w. $\ell(u) < \ell(w)$.

On the other hand, we have the endofunctor $K[y^*] \otimes_{K[y^*]^S} \cdot$ of $K[y^*]\text{-mod}$, where $K[y^*]^S = \{f \in K[y^*] \mid sf = f\}$. It preserves the full subcat. $K[y^*]^{\text{cow}}\text{-mod}$ (b/c $K[y^*]^W \subset K[y^*]^S$, details are left as **exercise**).

Theorem 3: $\forall$ simple reflection $s \in W$, have functor isomorphism $V \circ \bigoplus_s \xrightarrow{\sim} K[y^*] \otimes_{K[y^*]^S} V$

This gives a description of $\mathcal{O}^{\text{pr}}\text{-proj}$ as a full additive subcategory of $K[y^*]^{\text{cow}}\text{-mod}$: it consists of $\oplus$'s of indecomposable summands of $K[y^*]^{\text{cow}}$ -modules of the form $K[y^*] \otimes_{K[y^*]^{s_1}} K[y^*] \otimes_{K[y^*]^{s_2}} \dots \otimes_{K[y^*]^{s_e}} K$. We'll revisit this in the next lecture.

The proofs of Theorems 1-3 in this section is one of main results of the course.